



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/847,297	05/03/2001	Bill Cotton	T8465046US1

CONFIRMATION NO. 5433

## FORMALITIES LETTER



\*OC00000006222580\*

Gowling Lafleur Henderson LLP  
Suite 4900  
Commerce Court West  
Toronto, ON L1W 1K2  
CANADA

Date Mailed: 06/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

01-FC-105

130.00 CH

A copy of this notice **MUST** be returned with the reply.

*Seble*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**Docket No.  
T8465046US1

#3

In Re Application Of:

COTTON, Bill, et al

Serial No.  
09/847,297Filing Date  
05/03/2001

Examiner

Group Art Unit  
1741

Invention:

**SEALING MEANS FOR ELECTRICALLY DRIVEN WATER PURIFICATION UNITS AND METHOD  
OF MANUFACTURING THEREOF****TO THE ASSISTANT COMMISSIONER FOR PATENTS:****Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed  
06/25/2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

- (i) voluntary amendment
- (ii) transmittal of formal drawings, for compliance with 37 CFR 1.84
- (iii) abstract
- (iv) return receipt acknowledgement card

TECHNOLOGY CENTER  
SEP 26 2001

RECEIVED

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**T8465046US1**

In Re Application Of:

**COTTON, Bill**

Serial No.  
**09/847,297**

Filing Date  
**05/03/2001**

Examiner

Group Art Unit  
**1741**

Invention:

**SEALING MEANS FOR ELECTRICALLY DRIVEN WATER PURIFICATION UNITS AND METHOD OF  
 MANUFACTURING THEREOF**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

**\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

RECEIVED  
 SEP 26 2001  
 TECHNOLOGY CENTER 1700

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

**10/11/2001 EVANS 00000001 071750 09847297**

☒ One month

☐ Two months

☐ Three months

☐ Four months

☐ Five months

from: **August 25, 2001**

until: **September 25, 2001**

**02 FC:115**

**110.00** Date

Date

Total time extension fees **\$110.00**

Total fees due **\$240.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
T8465046US1

In Re Application Of:

COTTON, Bill, et al

Serial No.  
09/847,297

Filing Date  
05/03/2001

Examiner

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1741

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OF MANUFACTURING THEREOF**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$240.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 07-1750  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

RECEIVED  
SEP 26 2001  
TECHNOLOGY CENTER 1700



Signature

Dated: September 25, 2001

Arne I. Fors, Reg. No. 20,775

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

**TRANSMITTAL OF FORMAL DRAWINGS**Docket No.  
T8465046US1

In Re Application Of:

COTTON, Bill, et al

Serial No.

09/847,297

Filing Date

05/03/2001

Batch No.

Examiner

Art Unit

1741

Invention:


**SEALING MEANS FOR ELECTRICALLY DRIVEN WATER PURIFICATION UNITS AND METHOD OF  
MANUFACTURING THEREOF**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

Transmitted herewith are:

11 sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c)  
on the reverse side of the drawing.

Signature

Arne I. Fors, Reg. No. 20,775

Dated: September 25, 2001I certify that this document and attached formal drawings  
are being deposited on \_\_\_\_\_ with the  
U.S. Postal Service as first class mail under 37 C.F.R. 1.8  
and addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence